

Escalation and Assurance Report

Report from: Audit Committee

Date the meeting: 7th April 2022

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| Key discussion points and matters to be escalated from the discussion at the meeting: |
| Alert: |
| <ul style="list-style-type: none"> There were no issues identified that required escalation from the meeting. |
| Advise: |
| <ul style="list-style-type: none"> Slippage to the internal audit programme had been a concern advised in earlier AAA reports. Five audits totalling 45 days have now been cancelled or deferred from the 2021/22 internal audit plan. However, the Audit Committee anticipates that Audit Yorkshire will still be in a position to issue a Head of Internal Audit Opinion. |
| Assure: |
| <p>The Audit Committee:</p> <ul style="list-style-type: none"> Approved the Internal Audit plan and Counter Fraud plan for 2022/23. Were further updated on the progress of the review of the processes and procedures on wards, in relation to the safe and secure storage of patients' and staff property. Opportunities for improvement were identified including training, revision of procedures, and provision of secure storage. Reviewed the report on losses and compensations; and approved one waiver of Standing Orders and Standing Financial Instructions. Reviewed and considered the reports on six internal audits of which 5 were rated Significant Assurance and one High Assurance. Is to undertake a Go See visit of the ATU. |
| <p><u>Risks discussed:</u></p> <ul style="list-style-type: none"> 2536, 1821 |
| <p><u>New risks identified:</u></p> <ul style="list-style-type: none"> None. |

Report completed by: Andrew Chang, Audit Committee Chair
27 April 2022