

Escalation and Assurance Report

Report from: Audit Committee

Date the meeting: 4th November 2021

Key discussion points and matters to be escalated from the discussion at the meeting:
Alert:
<ul style="list-style-type: none"> There were no issues identified that required escalation from the meeting.
Advise:
<ul style="list-style-type: none"> The Audit Committee was not able to fully address two reports on the agenda due to non-attendance of relevant Trust colleagues to present the reports or take questions. These reports were the Bi-Annual Litigation report and the Board Assurance Framework and Organisational Risk Register report. A working group to review and re-evaluate the processes and procedures on wards, in relation to the safe and secure storage of patients' property has been established in response to recent instances of patient property being damaged, lost, or possibly stolen. Slippage to the internal audit programme and risks to delivery of the audit plan were noted. The 'must-do' audits to enable a Head of Internal Audit Opinion to be formed has been identified, however in order for those audits to be prioritised, perhaps through a tiering system, support from the Trust to facilitate the audits is needed. Audit Yorkshire reported that they were not able to engage with Trust colleagues on four apparent overdue internal audit recommendations. The bulk of recommendations continue to be implemented on a timely basis.
Assure:
<p>The Audit Committee:</p> <ul style="list-style-type: none"> Reviewed the revision to the Standing Financial Instructions and Scheme of Delegation; and recommended that the Board approve the amendments proposed to the documents. Received the ISA 260 report of External Auditor, KPMG, on the Trust's Charitable Funds and noted their Opinion. There were no significant accounting issues to report. Adopted the Charitable Funds accounts and report or 2020/21 and signed the Letter of Representation. Received reassurance that there was 100% compliance with the clinical and non-clinical policy ratification process. Approved some scoping work with Internal Audit for the development of an Assurance Map - a visual chart to allow an objective view of the Trust's risks, showing the areas where assurance and/or re-assurance was received.
<p><u>Risks discussed:</u></p> <ul style="list-style-type: none"> Risk 2536
<p><u>New risks identified:</u></p> <ul style="list-style-type: none"> None.



Bradford District Care
NHS Foundation Trust

**Report completed by: Andrew Chang,
Audit Committee Chair
5 January 2022**