

## TRUST BOARD MEETING

24 May 2018

Paper Title:	Draft Annual Governance Statement 2017/18
Lead Director:	Paul Hogg, Director of Corporate Affairs
Paper Author:	Paul Hogg, Director of Corporate Affairs
Agenda Item:	19
Presented For:	Approval
Paper Category:	Governance & Compliance

### Executive Summary:

NHS Improvement requires Accountable Officers (AO) to give assurance about the stewardship of their organisations. This is done through the Chief Executive's Annual Governance Statement (AGS) and is a requirement in the Annual Report and Accounts.

The AGS records the stewardship of each organisation to supplement the accounts, providing evidence on the governance framework operating across the organisation (at Board level), effectiveness and controls associated with risk management arrangements, a description of any significant issues in year and any remedial actions taken as a result. The guidance from NHS Improvement (the NHS Foundation Trust Annual Reporting Manual 2017/18, issued November 2017) suggests the AGS should include a reference to NHS Improvement's well-led framework and include a statement report that the Board has conducted a review of the effectiveness of the trust's system on internal controls; both are included in the draft report.

The draft AGS was presented to Audit Committee by Nicola Lees on 16 April 2018 and will be presented by Liz Romaniak in her role as Interim Chief Executive. One comment was received at the meeting (to include details about the SIRO role undertaken by the Director of Corporate Affairs in 2017/18) which has been actioned. One further amendment has been made to reflect the one limited/requires improvement rated assurance report (Learning from Deaths) that was subsequently received as part of the 2017/18 internal audit programme.

Our external auditor will also review the AGS and report on:

- any inconsistencies between information reported in the statement and their knowledge of the audited body; and
- any failure to comply with mandatory requirements.

Once the Audit Committee / Board has confirmed the content of the AGS including any amendments it will be signed by the Interim Chief Executive before being submitted to the Auditors and NHS Improvement and then incorporated into the Annual Report.

**Recommendations:**

That the Audit Committee:

- comment on the final draft of the Annual Governance Statement; and
- note that this will be submitted to Trust Board on 24 May as part of the annual report and accounts approval process.

## Governance/Audit Trail:

Meetings where this item has previously been discussed (please mark with an X):					
<b>Audit Committee</b>	x	<b>Quality &amp; Safety Committee</b>		<b>Remuneration Committee</b>	<b>Finance, Business &amp; Investment Committee</b>
<b>Executive Management Team</b>	x	<b>Directors</b>		<b>Chair of Committee Meetings</b>	<b>Mental Health Legislation Committee</b>
<b>Council of Governors</b>					

This report supports the achievement of the following strategic aims of the Trust: (please mark those that apply with an X):	
<b>Quality and Workforce:</b> to provide high quality, evidence-based services delivered by a diverse, motivated and engaged workforce	x
<b>Integration and Partnerships:</b> to be influential in the development and delivery of new models of care locally and more widely across West Yorkshire and Harrogate STP	
<b>Sustainability and Growth:</b> to maintain our financial viability whilst actively seeking appropriate new business opportunities	

This report supports the achievement of the following Regulatory Requirements: (please mark those that apply with an X):	
<b>Safe:</b> People who use our services are protected from abuse and avoidable harm	
<b>Caring:</b> Staff involve people who use our services and treat them with compassion, kindness, dignity and respect	
<b>Responsive:</b> Services are organised to meet the needs of people who use our services	
<b>Effective:</b> Care, treatment and support achieves good outcomes, helps to maintain quality of life people who use our services and is based on the best available evidence.	
<b>Well Led:</b> The leadership, management and governance of the organisation make sure it's providing high-quality care that is based around individual needs, encourages learning and innovation, and promotes an open and fair culture.	x
<b>NHSI Single Oversight Framework</b>	

Equality Impact Assessment :
N/A.