1. Purpose of this Report

This report presents the updated Board Assurance Framework (BAF) for Quarter 2 of 2016/17 for consideration/approval. Since the last Board report in July, a number of issues have been considered at Board/Committee level, which have helped to inform the BAF, including:

- capacity and demand, quality issues (Quality and Safety Committee);
- financial planning, in-year position (Finance, Business and Investment Committee);
- annual planning processes (BDD);
- correspondence from NHS Improvement around Sustainability and Transformation Funding and financial control totals for 2017/8 and 2018/19;
- the local mental health commissioning strategy; and
- wider health economy issues including STP/Vanguard discussions.

2. Financial Implications

Strategic risks 1.4-1.6, 2.3 and 3.1 have direct financial implications for the Trust.

3. Legal Implications

All Foundation Trusts are required to maintain a BAF as part of its corporate governance reporting arrangements.

4. Equality Impact Assessment

An equality impact assessment has not been undertaken on this report.

5. Previous Meetings/Committees Where the Report Has Been Considered:

<table>
<thead>
<tr>
<th>Audit Committee</th>
<th>Quality &amp; Safety Committee*</th>
<th>Remuneration Committee</th>
<th>Finance Business &amp; Investment Committee*</th>
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Executive Directors’ Chair of MH
Management team ✓ Meeting Committees' Meeting Legislation Committee

*certain elements of the BAF have been considered indirectly at Committee meetings

**Risk Issues Identified for Discussion**

The BAF identifies potential risks in relation to the Trust’s strategic objectives.

6. **Links to Strategic Drivers**

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<th>Patient Experience</th>
<th>Quality</th>
<th>Value for Money</th>
<th>Relationships</th>
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The content of the Board Assurance Framework has a direct bearing on all of the strategic aims.

7. **Publication Under Freedom of Information Act**

This paper has been made available under the Freedom of Information Act.

8. **Recommendations**

That Trust Board:

- Consider the updates on each element of the BAF, seeking clarification from lead Directors where appropriate;
- Review the risk scores; and
- Agree any further work relating to the gaps in controls or gaps in assurance that require further action by the Board, its Committees or EMT.