

BOARD MEETING

27 October 2016

Paper Title:	Board Assurance Framework 2016/17
Section:	Public
Lead Director:	Paul Hogg, Trust Secretary
Paper Author:	Paul Hogg, Trust Secretary
Agenda Item:	13
Presented For:	Consideration/Approval

1. Purpose of this Report

This report presents the updated Board Assurance Framework (BAF) for Quarter 2 of 2016/17 for consideration/approval. Since the last Board report in July, a number of issues have been considered at Board/Committee level, which have helped to inform the BAF, including:

- capacity and demand, quality issues (Quality and Safety Committee);
- financial planning, in-year position (Finance, Business and Investment Committee);
- annual planning processes (BDD);
- correspondence from NHS Improvement around Sustainability and Transformation Funding and financial control totals for 2017/8 and 2018/19;
- the local mental health commissioning strategy; and
- wider health economy issues including STP/Vanguard discussions.

2. Financial Implications

Strategic risks 1.4-1.6, 2.3 and 3.1 have direct financial implications for the Trust.

3. Legal Implications

All Foundation Trusts are required to maintain a BAF as part of its corporate governance reporting arrangements.

4. Equality Impact Assessment

An equality impact assessment has not been undertaken on this report.

5. Previous Meetings/Committees Where the Report Has Been Considered:

Audit Committee	<input type="checkbox"/>	Quality & Safety Committee*	<input checked="" type="checkbox"/>	Remuneration Committee	<input type="checkbox"/>	Finance Business & Investment Committee*	<input checked="" type="checkbox"/>
Executive	<input type="checkbox"/>	Directors'	<input type="checkbox"/>	Chair of	<input type="checkbox"/>	MH	<input type="checkbox"/>

Management team

Meeting

Committees' Meeting

Legislation Committee

*certain elements of the BAF have been considered indirectly at Committee meetings

Risk Issues Identified for Discussion

The BAF identifies potential risks in relation to the Trust's strategic objectives.

6. Links to Strategic Drivers

Patient Experience	Quality	Value for Money	Relationships
The content of the Board Assurance Framework has a direct bearing on all of the strategic aims.			

7. Publication Under Freedom of Information Act

This paper has been made available under the Freedom of Information Act.

8. Recommendations

That Trust Board:

- Consider the updates on each element of the BAF, seeking clarification from lead Directors where appropriate;
- Review the risk scores; and
- Agree any further work relating to the gaps in controls or gaps in assurance that require further action by the Board, its Committees or EMT.